Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	6771USP4	
First Inventor	Michael Zung	
Title	See 1 in Addendum	
Express Mail Label No.	EV 314259120	

(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.	EV 314259120				
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:	Mail Stop Patent Applicatio Commissioner for Patents P.O. Box 1450 Alexandría VA 22313-1450	on O			
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification [Total Pages 54] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 61]	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. Paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney					
5. Oath or Declaration [Total Sheets a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76	English Translation Document (if applicable)  Information Disclosure Copies of IDS  Statement (IDS)/PTO-1499 Citations  Preliminary Amendment  Return Receipt Postcard (MPEP 503)  (Should be specifically itemized)  Certified Copy of Priority Document(s)  (if foreign priority is claimed)  Nonpublication Request under 35 U.S.C. 122  (b)(2)(B)(i). Applicant must attach form PTO/SB/35  or its equivalent.  Other: Unexecuted Oath/Power of Attorney					
40. If a CONTINUUNIC APPLICATION about assessing how and assess	(2 pp	)				
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Divisional  Continuation-in-part (CIP)  Of prior application No.: unassigned  Prior application information:  Examiner unassigned  Art Unit:  For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
19. CORRESPON	DENCE ADDRESS					
X Customer Number: 23492	OR [	Correspondence addre	ess below			
Name						
Address						
City	State	Zip Code				
	elephone	Fax				
Name (Print/Type) Joseph A. Twarowski	Registration No. (Attorne	y/Agent)  42191				
Signature Couple a Townson		Date	9/11/03			

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# Addendum

1. ARTICULATING SUTURING DEVICE AND METHOD

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FEE	<b>TRA</b>	NSN	MT	TAL
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Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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lwi	71	<b>∠</b> ,	vv

Complete if Known				
Application Number	Not yet assigned			
Filing Date	September 11, 2003			
First Named Inventor	Michael Zung			
Examiner Name	Not yet assigned			
Art Unit	Not yet assigned			
Attorney Docket No.	6771USP4			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
Check Credit card Money Other None		3. ADDITIONAL FEES							
X Deposit Account:		<u>Large</u>	Entity	Small	Entity				
Deposit	Account.	01-0025		Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	<u></u>	01-0023		1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	Al	bbott Laboratories		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Commiss	ioner is author	rized to: (check all that ap	nolv)	1053	130	1053	130	Non-English specification	<b> </b>
	(s) indicated be		verpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	<u> </u>
	-	(s) during the pendency of		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee to the above-id		elow, except for the filing account.	fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		ALCULATION	······	1251	110	2251	55	Extension for reply within first month	{
1. BASIC FI		ALOULATION		1252	410	2252	205	Extension for reply within second month	
Large Entity				1253	930	2253	465	Extension for reply within third month	
Fee Fee	Fee Fee	Fee Description	Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month	
Code (\$) 1001 750	Code (\$) 2001 375	Utility filing fee		1255	1,970	2255	985	Extension for reply within fifth month	
1002 330	2002 165	Design filing fee	750.00	1401	320	2401	160	Notice of Appeal	
1002 550	2003 260	Plant filing fee	<u></u>	1402	320	2402		Filing brief in support of an appeal	
1004 750	2004 375	Reissue filing fee	<b>——</b>	1403	280	2403		Request for oral hearing	
1005 160	2005 80	Provisional filing fee		•	1,510	{		Petition to institute a public use proceeding	
			50.00	1452		2452		Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 750.00		1453	1,300	2453	650	Petition to revive - unintentional	[]		
2. EXTRA	CLAIM FEE	S FOR UTILITY ANI		1501	1,300	2501	650	Utility issue fee (or reissue)	
Total Claims $29 - 20^{**} = 9 \times 18 = 162$		<u>Fee Paid</u>	1502	470	2502	235	Design issue fee		
		162	1503	630	2503	315	Plant issue fee		
Independent Claims		" = [0 × <u></u>	] =0	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =		] =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity				1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 84	2202 9 2201 42			1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 280	2203 140	Multiple dependent cla	im, if not paid	1810	750	2810	375	For each additional invention to be	
1204 84	2204 42	** Reissue independer over original patent	nt claims	1801	750	2801	375	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	
1205 18	2205 9			1802	900	1802	900		
		Other	fee (sp	ecify)					
**or number previously paid, if greater; For Reissues, see above			*Red	uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)		

SUBMITTED BY (Complete (if applicable) Registration No. Telephone 650-474-3326 Name (Print/Type) Joseph A. Twarowski 42191 (Attorney/Agent) Jarargustho Date Signature

> WARNING: Information on this form may become public. Credit card information should not b included on this form. Provide cr dit card information and authorization n PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### **PATENTS**

### IN THE UNITED STATES PATENT AND TRADMARK OFFICE

Applicants: M. Zung, et al.

Group Art No.: (not yet assigned)

Serial No.: (not yet assigned)

Examiner: (not yet assigned)

Filed: September 11, 2003

Title: ARTICULATING SUTURING

**DEVICE AND METHOD** 

Case No.: 6771USP4

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EV 314259120 US Date of Deposit September 11, 2003

I hereby state that the following attached papers or fees

- 1. Utility Patent Application Transmittal;
- 2. Specification (49 pages), Claims (4 pages), Abstract (1 page), Drawings (61 pages);
- 3. Fee Transmittal, in duplicate;
- 4. Unexecuted Declaration and Power of Attorney (3 pages);
- 5. Return Receipt Postcard

Are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Joseph A. Twarowski Date

Reg. No. 42,191